

ON DELAUNAY ORIENTED MATROIDS FOR CONVEX DISTANCE FUNCTIONS.

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Abstract

For any finite point set S in E^d , an oriented matroid $\text{DOM}(S)$ can be defined in terms of how S is partitioned by Euclidean hyperspheres. This oriented matroid is related to the Delaunay triangulation of S and is realizable, because of the *lifting property* of Delaunay triangulations.

We prove that the same construction of a *Delaunay oriented matroid* can be performed with respect to any smooth, strictly convex distance function in the plane E^2 (Theorem 3.5). For these distances, the existence of a Delaunay oriented matroid cannot follow from a lifting property, because Delaunay triangulations might be non-regular (Theorem 4.2(i)). This is related to the fact that the Delaunay oriented matroid can be non-realizable (Theorem 4.2(ii)).

KEYWORDS : ORIENTED MATROID, DELAUNAY TRIANGULATION, VORONOI DIAGRAM

1 Introduction

In this paper we describe a link between the Delaunay triangulation of a finite point set S in the Euclidean d -space E^d and a certain oriented matroid $\text{DOM}(S)$ of rank $d + 2$, which we will call the *Delaunay oriented matroid* of S . For the Euclidean distance, $\text{DOM}(S)$ was introduced by R.G. Bland and M. Las Vergnas in [3] (see also [2, pp. 29–32]). There, only the planar case is considered and the oriented matroid is described in terms of its circuits. We present a description of

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DOM(S) in terms of covectors/cocircuits which seems to us simpler. Our main purpose is to generalize Delaunay oriented matroids to the case of Delaunay triangulations computed with respect to the so-called *convex distance functions*. A brief introduction to oriented matroids is included.

Definition 1.1 *Let S be a finite set of points in the Euclidean space E^d . We define its Delaunay triangulation $Del(S)$ to be the following polyhedral complex: the convex hull $conv(T)$ of a certain subset T of S is a cell in the Delaunay triangulation of S if and only if a Euclidean hypersphere exists passing through every point of T and having every point of $S \setminus T$ in its exterior.*

Delaunay triangulations are named after the Russian mathematician B. Delone [8]. They have become a major subject of study in computational geometry and they are geometrically dual to the Voronoi diagrams [1, 13]. Some of the cells in the Delaunay triangulation may not be simplices, if more than $d + 2$ points lie in a certain hypersphere. We will still use the word ‘triangulation’ in this degenerate case. The fact that the Delaunay triangulation is a polyhedral complex is a corollary of the *lifting property* of Delaunay triangulations [4, 9]:

Proposition 1.2 (lifting property) *Let S be a finite point set in E^d . Then, the Delaunay triangulation of S coincides with the orthogonal projection of the lower envelope of the point set $\bar{S} \subset \mathbf{E}^{d+1}$ obtained lifting S into the paraboloid of equation $x_{d+1} = \sum_{i=1}^d x_i^2$. I.e.:*

$$\bar{S} := \{(x_1, \dots, x_d, x_{d+1}) \in E^{d+1} \mid (x_1, \dots, x_d) \in S^d, x_{d+1} = \sum_{i=1}^d x_i^2\}$$

The lifting property holds because the intersection of the paraboloid with any non-vertical hyperplane of E^{d+1} projects down to a hypersphere in E^d . As a consequence, the oriented matroid $\mathcal{M}_{\bar{S}}$ of affine dependencies of \bar{S} describes the partitions of S induced by hyperspheres. For this reason we call $\mathcal{M}_{\bar{S}}$ the *Delaunay oriented matroid* of S and denote it DOM(S). The reader not familiar with oriented matroids can see our introduction to them in section 2, or consult [2]. The above argument indicates that DOM(S) can be directly defined from S by hypersphere partitions. Our main results are:

- this direct definition of DOM(S) generalizes to the case where hyperspheres are substituted by the collections of K -circles of a smooth, strictly convex distance function D_K in the plane (Theorem 3.5).
- the K -Delaunay oriented matroids so obtained may be non-realizable (Theorem 4.2).
- these distance functions do not have, in general, a lifting property (Theorem 4.2).

We also prove that the conditions *smooth*, *strictly convex* and *in the plane* in Theorem 3.5 are necessary.

Remark 1.3 Our results can be interpreted as incidence theorems on K -circles (scaled translations of a given convex body K in the plane). For example, the following theorem holds for Euclidean circles:

Let p_1, \dots, p_8 be eight distinct points in the plane. If the following quadruples of points are cocircular: $[1, 2, 3, 4]$, $[3, 4, 5, 6]$, $[5, 6, 7, 8]$, $[7, 8, 1, 2]$, $[1, 2, 5, 6]$ then $[3, 4, 7, 8]$ is also cocircular.

Our construction in the proof of Theorem 4.2 is based on a version of this theorem, where two of the circles have infinite radius. Modifying a little bit our construction, one can prove that this incidence theorem does not hold when Euclidean circles are substituted by the K -circles of any symmetric convex distance function, with the only exception of K being an ellipse.

Remark 1.4 A different approach to Delaunay oriented matroids is implicit in [9], although there oriented matroid formalism is replaced by the related concept of a *pseudo-hyperplane arrangement*. Let S be a finite point set in E^d and let D be any continuous distance function. For each $p \in S$ consider the pseudo-hyperplane $H_p := \{(x, x_{d+1}) \in E^{d+1} \mid x_{d+1} = D(x, p)\}$ in E^{d+1} . Let $\mathcal{H} = \{H_p \mid p \in S\}$ be the arrangement of pseudo-hyperplanes so obtained. In [9] it is shown that the lower envelope of \mathcal{H} projects down to the Voronoi diagram of S with respect to distance D .

The relation to our Delaunay oriented matroids is as follows: any cell C in the hyperplane arrangement \mathcal{H} partitions S in three parts, depending on whether C lies *below*, *on* or *above* the pseudo-hyperplane H_p of a point $p \in S$. This partition of S coincides with the one obtained with a certain D -sphere. Thus, a Delaunay oriented matroid exists if the arrangement \mathcal{H} satisfies certain good-intersection conditions [2, Chapter 4]. Since the intersection of pseudo-hyperplanes of \mathcal{H} is a lifting of the bisector of the corresponding points of S , the results in [6] can provide a different proof of our Theorem 3.5. A Delaunay oriented matroid is *realizable* if the arrangement \mathcal{H} is *stretchable*, as is the case for the Euclidean distance (see again [9]).

2 Delaunay oriented matroids. The Euclidean case

Oriented matroids are abstractly defined as combinatorial objects satisfying the axioms in Definition 2.2 below. Before introducing this formal definition let us see its geometric meaning, from the point of view of affine point configurations. Technically speaking we will consider only *acyclic* oriented matroids.

A *signed subset* of a set S is a partition $X = (X^+, X^0, X^-)$ of S into three parts, respectively called the positive, zero and negative part of X . The *support*

(noted \underline{X}) of a signed subset $X = (X^+, X^0, X^-)$ is defined as $\underline{X} = X^+ \cup X^-$. Let $S \subseteq E^d$ be a finite set with n points, which affinely span E^d . A (signed) *covector* of the point configuration S is a signed subset $X = (X^+, X^0, X^-)$ of S such that an affine form $h : E^d \rightarrow \mathbf{R}$ exists with $h^{-1}(\mathbf{R}_{>0}) \cap S = X^+$, $h^{-1}(\mathbf{R}_{<0}) \cap S = X^-$ and $h^{-1}(0) \cap S = X^0$ (in other words, covectors are partitions of S induced by hyperplanes, together with the empty signed subset $(\emptyset, S, \emptyset)$). A covector is called a *cocircuit* if it has minimal, non-empty support. Equivalently, if X^0 affinely spans a hyperplane. The *chirotope* of S is the map $\chi : S^{d+1} \rightarrow \{+, 0, -\}$ defined by

$$\chi(p_1, \dots, p_{d+1}) = \text{sign} \begin{vmatrix} 1 & 1 & \cdots & 1 \\ p_1 & p_2 & \cdots & p_{d+1} \end{vmatrix}$$

Definition 2.1 *The oriented matroid \mathcal{M}_S of affine dependencies of the point configuration S is defined as the pair (S, \mathcal{V}_S) , where \mathcal{V}_S is the set of covectors of S .*

Definition 2.2 *An oriented matroid is a pair $\mathcal{M} = (E, \mathcal{V})$ where E is a finite set and \mathcal{V} is a collection of signed subsets of E called covectors which satisfy the following axioms: (V0) $(\emptyset, E, \emptyset) \in \mathcal{V}$,*

$$(V1) \mathcal{V} = -\mathcal{V}, \text{ where } -(X^+, X^0, X^-) := (X^-, X^0, X^+),$$

$$(V2) \text{ composition: for all } X, Y \in \mathcal{V}, \text{ we have } X \circ Y \in \mathcal{V}, \text{ where}$$

$$X \circ Y := (X^+ \cup (Y^+ \cap X^0), X^0 \cap Y^0, X^- \cup (Y^- \cap X^0)).$$

(V3) *vector elimination: for all $X, Y \in \mathcal{V}$, $e \in X^+ \cap Y^-$, there is a $Z \in \mathcal{V}$ such that*

$$Z^+ \subseteq (X^+ \cup Y^+) \setminus e,$$

$$Z^- \subseteq (X^- \cup Y^-) \setminus e$$

$$\text{and } (\underline{X} \setminus \underline{Y}) \cup (\underline{Y} \setminus \underline{X}) \cup (X^+ \cap Y^+) \cup (X^- \cap Y^-) \subseteq \underline{Z}.$$

An oriented matroid $\mathcal{M} = (E, \mathcal{V})$ is called acyclic if $(E, \emptyset, \emptyset) \in \mathcal{V}$. It is called polytopal if $(E \setminus \{e\}, \{e\}, \emptyset) \in \mathcal{V}$ for all $e \in E$. The rank of an oriented matroid is the maximum number of covectors none of which has support contained in the union of the supports of the others.

An oriented matroid $\mathcal{M} = (\mathcal{V}, E)$ of rank r in n elements is said to be realizable if there is a map $v : E \rightarrow \mathbf{R}^r$ such that $\chi(e_1, \dots, e_n) = \text{sign}(\det(v(e_1), \dots, v(e_n)))$.

The axiomatic definition of oriented matroids can equivalently be given in terms of a set of cocircuits, or a chirotope, (or by other means; see [2, Chapter 3]). Any of them can be translated into the others. For example, the cocircuits are the covectors with minimal non-empty support. Reciprocally, the covectors are exactly those signed subsets which can be recovered from the cocircuits by the *composition operations* appearing in axiom (V2). The *empty signed subset* $(\emptyset, S, \emptyset)$ is considered a covector, but not a cocircuit.

The chirotope of an oriented matroid can be read from the collection \mathcal{C} of cocircuits with the following rules. Let $T \subseteq S$ be a subset of cardinality d , and $e, e' \in S \setminus T$. Then

$$\chi(T \cup \{e\}) = 0 \quad \iff \quad \exists X \in \mathcal{C} \quad \text{s.t.} \quad T \cup \{e\} \subseteq X^0$$

$$\chi(T \cup \{e\}) = \chi(T \cup \{e'\}) \neq 0 \quad \iff \quad \exists X \in \mathcal{C} \quad \text{s.t.} \quad T \subseteq X^0 \text{ and } \{e, e'\} \in X^+$$

For an acyclic oriented matroid, realizability is equivalent to the existence of a set $S = \{p_1, \dots, p_n\} \subseteq E^{r-1}$ such that \mathcal{M} is isomorphic to the oriented matroid \mathcal{M}_S of affine dependencies of a point configuration S , as in Definition 2.1. In this case the realizing vectors are $v(e_i) = (1, p_i)$. An acyclic, realizable oriented matroid is polytopal if and only if the realizing points are in convex position.

Let C be any hypersphere or hyperplane and let C^+ and C^- be the two connected components of $E^d \setminus C$. Then C induces two opposite signed subsets $X = (S \cap C^+, S \cap C, S \cap C^-)$ and $-X = (S \cap C^-, S \cap C, S \cap C^+)$ of S . The signed subsets obtained in this way, together with the empty signed subset, coincide with the covectors of the oriented matroid $\mathcal{M}_{\tilde{S}} = \text{DOM}(S)$ of affine dependencies of the lifted point set \tilde{S} , as defined in the introduction.

The rank of $\text{DOM}(S)$ equals $d + 2$ if and only if S is not contained in a hypersphere or hyperplane. In this case, the cocircuits are those covectors $X = (X^+, X^0, X^-)$ for which a unique hypersphere or hyperplane passes through all points in X^0 . The chirotope of $\text{DOM}(S)$ can be obtained as

$$\chi(p_1, \dots, p_{d+2}) = \text{sign} \begin{vmatrix} 1 & 1 & \cdots & 1 \\ p_1 & p_2 & \cdots & p_{d+2} \\ p_1^2 & p_2^2 & \cdots & p_{d+2}^2 \end{vmatrix}$$

where $p_k^2 := \sum_{i=1}^d x_{k,i}^2$ for a point $p_k = (x_{k,1}, \dots, x_{k,d})$.

The determinant defining χ was called the ‘‘InCircle’’ predicate by Guibas and Stolfi [11] (see also [14, §17]) and used as the basic primitive for computing Delaunay triangulations. Its value is zero if and only if the points lie in a hyperplane or hypersphere. If not, let p_1, \dots, p_{d+1} be in general position and let C be the unique hypersphere passing through them. Then, $\chi(p_1, \dots, p_{d+2})$ is positive if and only if p_{d+2} lies in the ‘‘positive’’ component of $E^d \setminus C$ with respect to the orientation defined in C by the ordering of p_1, \dots, p_{d+1} . For a circle C passing through the points p_1, p_2 and p_3 in the plane E^2 , by convention we take the positive component of $E^2 \setminus C$ to be the one at the left side of C when C is walked so that one encounters the points p_1, p_2 and p_3 in this order.

A description of $\text{DOM}(S)$ at the level of circuits can be found in [2, pp. 29–32], for the planar case.

Remark 2.3 Cells in the Delaunay triangulation are convex hulls of subsets T of S such that $(S \setminus T, T, \emptyset)$ is a covector of $\text{DOM}(S)$. Nevertheless, the converse

is not true because such a covector can correspond to a hypersphere passing through T and having all $S \setminus T$ inside, instead of outside.

To solve this ambiguity we can extend the covectors in $\text{DOM}(S)$ with an extra point noted ∞ and supposed to lie on any hyperplane and outside any hypersphere. The extended covectors still define an oriented matroid, which we call the *extended Delaunay oriented matroid* of S (and note $\text{EDOM}(S)$). A signed subset, $(S \cup \{\infty\} \setminus T, T, \emptyset)$, where $T \subset S$, is a covector of $\text{EDOM}(S)$ if and only if T defines a cell in the Delaunay triangulation of S . Moreover, $\text{EDOM}(S)$ contains the combinatorial information of the Voronoi diagram of S of any order k (see [9]). For example, a subset $T \subseteq S$ of k points define a non-empty cell in the Voronoi diagram of order k of S if and only if $(T, \emptyset, S \cup \infty \setminus T)$ is a covector of $\text{EDOM}(S)$. This is related to the fact that the k -level of the arrangement of pseudo-hyperplanes mentioned in Remark 1.4 projects down to the Voronoi diagram of order k .

The extended Delaunay oriented matroid is again acyclic and polytopal. It has rank $d + 2$ if and only if S affinely spans E^d . If we delete from it the point ∞ we obtain $\text{DOM}(S)$. If we contract $\text{EDOM}(S)$ at the point ∞ , we obtain the usual oriented matroid \mathcal{M}_S of affine dependencies of S .

3 The case of convex distance functions

This section is devoted to introduce convex distance functions and to prove the existence of Delaunay oriented matroids for smooth, strictly convex distance function in the plane (Theorem 3.5). Also, examples showing the necessity of the three conditions (*smooth*, *strictly convex* and *in the plane*) are given, as well as a more abstract setting in which Delaunay oriented matroids can be defined (Proposition 3.8).

Definitions 3.1 *Let K be a convex body in the Euclidean space E^d , bounded and with the origin in its interior. The convex distance function $D_K(p, q)$ between two arbitrary points p and q is defined to be the unique scaling factor $0 < \lambda < \infty$ for which q lies in $p + \lambda \partial K$, where ∂ denotes the topological boundary operator. The scaled translations $p + \lambda \partial K$ of K will be called K -spheres (or K -circles if $d = 2$). In particular, ∂K is called the unit K -sphere and K the unit K -ball.*

A convex distance function D_K is called strictly convex if ∂K does not contain three collinear points. It is called smooth if K has a unique supporting hyperplane at each boundary point.

Convex distance functions include all the Minkowski metrics (in particular L_p distances), which are obtained if K is centrally symmetric. If K is not centrally symmetric then D is not a proper metric but it still satisfies the triangle inequality $D_K(p_1, p_2) + D_K(p_2, p_3) \geq D_K(p_1, p_3)$. Convex distance functions in the plane were introduced in computational geometry by P. Chew and R.L.

Drysdale in [5], where a divide and conquer strategy is used to compute the Voronoi diagrams they produce. Their Voronoi diagrams have also been studied in [6, 7, 12, 15].

For a strictly convex distance function D_K in the plane, we can define the Delaunay triangulation of a point set S with respect to D_K substituting Euclidean hyperspheres by K -spheres in Definition 1.1. This Delaunay triangulation is a well-defined polyhedral complex. If D_K is, in addition, smooth then any edge in the convex hull of S is a Delaunay edge (cf. [16]).

In the contrary, for non-strictly convex distance functions in the plane, or for convex distance functions in dimension $d \geq 3$ whose defining convex body is not an ellipsoid, Definition 1.1 may not yield a polyhedral complex. The main reason for this is that through $d + 1$ points in general position, more than one K -sphere can pass. This gives rise to overlapping cells. The interested reader can easily verify the following example: points $(0, 0, 2)$, $(0, 0, -2)$, $(-1, 2, 0)$, $(2, -1, 0)$ in E^3 lie in exactly three different L_4 -spheres, with centers along the line $\{z = 0, x = y\}$. Even more, this cannot be considered a degeneracy, because it still happens for any small perturbation of the four points. This bad behaviour of convex distance functions in 3-space is described in [12] (see also [15]).

Proposition 3.2 *Let D_K be a convex distance function in E^d , $d \geq 2$. For any finite point set S , let $\mathcal{V}_K(S)$ be the collection of signed subsets of S induced by K -spheres (and hyperplanes), together with the empty signed subset. Suppose that $d = 2$ and D_K is non-smooth or non-strictly convex, or that $d > 2$ and K is not an ellipsoid. Then, there exists a point set S for which $\mathcal{V}_K(S)$ is not the set of covectors of an oriented matroid. Moreover, S can be found with four points in the non-smooth case, five in the non-strictly convex case and $d + 3$ in the case $d > 2$.*

Proof: The three cases follow from the following general fact: let p and q be two points in S . Suppose there exist K -spheres C and D with $C \cap S = S \setminus \{p\}$ and $D \cap S = S \setminus \{p, q\}$, but no K -sphere contains $S \setminus \{q\}$. Then, $\mathcal{V}_K(S)$ is not the set of covectors of an oriented matroid. For the proof of this, consider the signed subsets coming from C and D with signs given so that p is positive in one and negative in the other: axiom (V3) of Definition 2.2 should produce a covector which is zero in $S \setminus \{q\}$, but not in q ; this contradicts the hypothesis. Let us now prove the three cases:

(a) For any non-strictly convex distance function D_K one can find two K -circles C and D intersecting in a line segment $[p_1, p_2]$. Let $p_3 = (p_1 + p_2)/2$. Take $q \in C \setminus D$. Finally, Take $p \notin [p_1, p_2]$ but very close to p_3 and let $S = \{p_1, p_2, p_3, p, q\}$.

(b) For a distance D_K which is not smooth, one can always find three points p_1, p_2 and p which are neither K -cocircular nor collinear. Consider two arbitrary (different) K -circles C and D passing through p_1 and p_2 and a point q lying in C but not in D . Let $S = \{p_1, p_2, p, q\}$.

(c) If $d > 2$ and K is not an ellipsoid then, according to [10], there exist $d + 1$ points p_1, \dots, p_{d+1} not in a hyperplane and which belong to at least two different K -spheres C and D . Let p be a point in the interior of the simplex $\text{Conv}(p_1, \dots, p_{d+1})$. Take $q \in C \setminus D$ and $S = \{p_1, \dots, p_{d+1}, p, q\}$. \square

In the sequel we will only be concerned with smooth, strictly convex distance functions in the plane. It will be convenient to compactify the Euclidean space E^2 into a 2-sphere S^2 by adding a point at infinity, noted ∞ . This additional point lies on every line and in the exterior region of any K -circle. In this setting, lines will also be considered K -circles (they are limit cases).

Our proof of Theorem 3.5 will follow from the following properties of K -circles.

Lemma 3.3 *Let D_K be a smooth, strictly convex distance function in the compactified plane $S^2 = E^2 \cup \{\infty\}$. Then:*

- (i) *Through any three points in S^2 there passes at least one K -circle.*
- (ii) *Two different K -circles intersect in at most two points.*
- (iii) *Let C and D be two K -circles which intersect in two points. Then, the two connected components of $C \setminus D$ lie one in each of the two connected components of $S^2 \setminus D$.*
- (iv) *For any K -circle C and any points $p \in C$, $q \in S^2$ the collection $\mathcal{C}_{p,C}$ of K -circles intersecting C exactly at p has a unique representative passing through q .*
- (v) *For any point p in S^2 and any two disjoint K -circles C and D not passing through p , there is a K -circle E passing through p and not intersecting C nor D . Moreover, if p is “between” C and D (i.e. in the component of $S^2 \setminus C$ which contains D and in the component of $S^2 \setminus D$ which contains C) E can be found separating C and D (i.e. with C and D contained in different components of $S^2 \setminus E$).*

Proof: Translated to the non-compactified plane (i) and (ii) are equivalent to “three collinear points are not K -cocircular and through any three non-collinear points there passes exactly one K -circle”. For the proof of this see, for example, [12], [16] or [15]. (The latter gives a more general result in arbitrary dimension). Actually, strict convexity is only needed in (ii) and smoothness in (i).

We will prove that (iii) actually follows from (i) and (ii). Let C and D be two K -circles intersecting at two points p and q , so that $C = c_1 \cup c_2$ and $D = d_1 \cup d_2$, where c_1, c_2, d_1 and d_2 are closed arcs joining p to q . If (iii) is not true, we can suppose without loss of generality that $c_1 \cup d_1$ separates c_2 from d_2 . Consider two points p_c and p_d in c_1 and d_1 respectively and a K -circle passing through p, p_c and p_d (which exists, by (i)). This K -circle must intersect either c_2 or d_2 in another point, which contradicts (ii).

In (iv) several cases need to be studied. If p is the point at infinity, then $\mathcal{C}_{p,C}$ is the collection of lines parallel to C . If C is a line but p is not the point

at infinity, then $\mathcal{C}_{p,C}$ contains C and the collection of proper K -circles passing through p and having C as supporting line. If C is a proper K -circle then $\mathcal{C}_{p,C} = \mathcal{C}_{p,l}$, where l is the supporting line of C at p .

The first part of (v) is trivial, considering a very small K -circle passing through p (or a very far away line if $p = \infty$). If p is between C and D , then the K -circle E separating C and D is still easy to find, considering the possible cases for C and D (being proper K -circles or lines) and for p (being at infinity or not). \square

Lemma 3.4 *Let D_K be a smooth, strictly convex distance function in the compactified plane $S^2 = E^2 \cup \{\infty\}$. Let C and D be two K -circles (or lines). Denote by C^+ and C^- (resp. D^+ and D^-) the two connected components of $S^2 \setminus C$ (resp. of $S^2 \setminus D$), and let p be a point in $C^+ \cap D^-$. Then, there exists a K -circle E such that*

- (i) $p \in E$ and $E \cap C = E \cap D = C \cap D$
- (ii) the two connected components of $S^2 \setminus E$ are contained respectively in $C^+ \cup D^+$ and $C^- \cup D^-$.

Proof: Let us consider separately the three possibilities for $C \cap D$ (being two points, one point or empty).

- If C and D intersect in two points q and r , then take E to be the K -circle passing through p , q and r (Lemma 3.3(i)). By Lemma 3.3(ii) E satisfies (i). Also, Lemma 3.3(iii) and the fact that E intersects $C^+ \cap D^-$ imply that the two components of $E \setminus \{q, r\}$ are contained respectively in $C^+ \cap D^-$ and $C^- \cap D^+$.

- If C and D intersect in one point q , then take E to be the unique K -circle passing through p in $\mathcal{C}_{q,C} = \mathcal{C}_{q,D}$ (Lemma 3.3(iv)), which trivially satisfies (i). $E \setminus \{q\}$ is connected and does not intersect C nor D . Since $p \in C^+ \cap D^-$ is in E , $E \setminus \{q\}$ must be contained in $C^+ \cap D^-$. This implies that one of the components of $S^2 \setminus E$ is contained in C^+ and one (may be the same one) in D^- . If it is not the same component we have finished. If it is the same one (call it E_1 and call the other one E_2), then we either have $E_1 \subset C^+ \subset D^-$ or $E_1 \subset D^- \subset C^+$. This implies that either $C^- \cup D^-$ or $C^+ \cup D^+$ equals $S^2 \setminus \{q\}$, which obviously contains E_2 .

- If C and D do not intersect, then there are essentially two possibilities for the point p . If p is between C and D , then take as E any K -circle passing through p and separating C and D . Otherwise, take as E any K -circle passing through p and not intersecting C nor D . \square

Theorem 3.5 *Let D_K be a smooth, strictly convex distance function in the compactified plane $S^2 = E^2 \cup \{\infty\}$. Let S be a finite point set. Call $\mathcal{V}_K(S)$ the collection of signed subsets induced by K -circles (and lines), together with the empty signed subset $(\emptyset, S, \emptyset)$. Then $\mathcal{V}_{D_K}(S)$ is the set of covectors of an acyclic, polytopal oriented matroid $\text{DOM}_{D_K}(S)$. Its rank equals 4 if and only if S is not contained in a K -circle (or line). We will call this oriented matroid the Delaunay oriented matroid $\text{DOM}_K(S)$ of S with respect to distance D_K .*

Proof: Axioms (V0) and (V1) in Definition 2.2 are trivially satisfied for $\mathcal{V}_K(S)$. Axioms (V2) and (V3) are also trivial if one of the covectors involved is the empty covector. Thus, consider two covectors X_C and X_D in $\mathcal{V}_{D_K}(S)$ coming from two D_K -spheres (or lines) C and D . Call C_+, C_-, D_+ and D_- respectively the connected components of $S^2 \setminus C$ and $S^2 \setminus D$, in the way that agrees with the signs in covectors X_C and X_D . In these conditions, the vector elimination axiom (V3) follows directly from Lemma 3.4.

The composition axiom (V2) needs to be proved only in the case that no point of S lies in $U = (C_+ \cap D_-) \cup (C_- \cap D_+)$, according to [2, Corollary 3.7.7]. If U is empty, then there is nothing to prove. If U is not empty, then without loss of generality assume that $C_+ \cap D_-$ is not empty. Otherwise apply the following to the opposite covectors $-X_C$ and $-X_D$. Take an additional point p in $C_+ \cap D_-$. Consider the augmented point set $S' = S \cup \{p\}$ and the two extended covectors Y_C and Y_D in $\mathcal{V}_K(S)$ induced by the K -spheres C and D . Since $\{p\} = (Y_C^+ \cap Y_D^-) \cup (Y_C^- \cap Y_D^+)$, the covector Z' produced by axiom (V3), which we have already proved, is an extension of $X_C \circ X_D$. Thus, a K -circle exists producing $X_C \circ X_D \in \mathcal{V}_K(S)$.

Lemma 3.3(v) implies that a covector $X = (X^+, X^0, X^-)$ with maximal X^+ must equal $(S, \emptyset, \emptyset)$. Thus, $\text{DOM}_K(S)$ is acyclic. Lemma 3.3(iv) implies that a covector $X = (X^+, X^0, X^-)$ with $p \in X^0$ and maximal X^+ must equal $(S \setminus \{p\}, \{p\}, \emptyset)$. Thus, $\text{DOM}_K(S)$ is polytopal.

Suppose that there are five non-zero covectors X_1, \dots, X_5 each with support not contained in the union of the supports of the others. Then, there are three points $p_1 \in \underline{X_1}$, $p_2 \in \underline{X_2}$ and $p_3 \in \underline{X_3}$ with $p_1, p_2, p_3 \notin \underline{X_4} \cup \underline{X_5}$. I.e, the three points lie in the K -circles defining X_4 and X_5 . This implies that X_4 and X_5 are equal or opposite, which contradicts the assumption. Thus, the rank of $\text{DOM}_K(S)$ is at most 4. Moreover, if a K -circle exists containing S , the same argument shows that the rank cannot be 4, because this would imply the existence of a non-zero covector X_4 passing through three different points of S . If S does not lie in a K -circle, take four points in S which are neither collinear nor K -cocircular. The four covectors corresponding to the K -circles passing through three of them prove that the rank is at least four. \square

Remark 3.6 The compactified setting makes the extended Delaunay oriented matroid defined in section 2 be an instance of a Delaunay oriented matroid $\text{DOM}(S)$ in which S contains the point ∞ . Everything we said in section 2 for Delaunay oriented matroids (extended or not) in the Euclidean case holds for the Delaunay oriented matroids defined in Theorem 3.5. The only exception is the determinantal formula for computing the chirotope, although the rule for computing it by orienting the K -circle passing through three points still holds. A non-empty covector X of $\text{DOM}_K(S)$ is a cocircuit if and only if X^0 has at least three points.

Remark 3.7 Our proof of Theorem 3.5 is completely based on Lemma 3.3. This is emphasized in the following statement which generalizes this fact to

arbitrary dimension. The redundant condition (iii) in Lemma 3.3 has been substituted by a new inductive one which applies only to $d > 2$. The proof of Proposition 3.8 can be found in [16]. It is similar to our derivation of Theorem 3.5 from Lemma 3.3, with two new technical steps: proving that any non-empty intersection of \mathcal{D} -spheres is either a point or a sphere of a certain dimension between 0 and $d - 1$ and proving that any \mathcal{D} -sphere contains $d + 1$ points “in general position”, i.e. $d + 1$ points not contained in any other \mathcal{D} -sphere.

Proposition 3.8 *Let S^d be a d -dimensional sphere ($d \geq 2$), and let \mathcal{D} be a collection of $(d - 1)$ -dimensional spheres (to be called \mathcal{D} -spheres) in S^d . Suppose that \mathcal{D} satisfies the following properties:*

- (i) *Through any $d + 1$ points in S^d there passes at least one \mathcal{D} -sphere.*
- (ii) *Two any non disjoint \mathcal{D} -spheres C and D intersect either in a $(d - 2)$ -dimensional sphere or in a point.*
- (iii') *If $d > 2$ then, for any \mathcal{D} -sphere C , the collection of $(d - 2)$ -dimensional spheres $\mathcal{D}_C := \{C \cap D \mid D \in \mathcal{D}, C \text{ and } D \text{ intersect in more than one point}\}$, considered as a system of spheres in C , again satisfies conditions (i), (ii) and (iii'), (iv) and (v).*
- (iv) *For any \mathcal{D} -sphere C and any points $p \in C, q \in S^d$ the collection $\mathcal{D}_{p,C}$ of \mathcal{D} -spheres intersecting C exactly at p has a unique representative passing through q .*
- (v) *For any point p in S^d and any two disjoint \mathcal{D} -spheres C and D not passing through p , there is a \mathcal{D} -sphere E passing through p and not intersecting C nor D . Moreover, if p is “between” C and D (i.e. in the component of $S^d \setminus C$ which contains D and in the component of $S^d \setminus D$ which contains C) E can be found separating C and D (i.e. with C and D contained in different components of $S^d \setminus E$).*

Let $S \subset S^d$ be a finite point set. Call $\mathcal{V}_{\mathcal{D}}(S)$ the collection of signed subsets defined by \mathcal{D} -spheres together with the empty signed subset. Then, $\mathcal{V}_K(S)$ is the set of covectors of an acyclic, polytopal oriented matroid $\text{DOM}_{\mathcal{D}}(S)$. Its rank equals $d + 2$ if and only if S is not contained in any \mathcal{D} -sphere.

4 Non-realizable Delaunay oriented matroids

In this section we will show that the Delaunay oriented matroids appearing in Theorem 3.5 may be non-realizable. Actually, we will see that non-realizable ones appear for any symmetric, smooth, strictly convex distance function whose unit ball is not an ellipse. The symmetry assumption is due to the use of Lemma 4.1 but the result is possibly true without it. We will also see that, for the same class of distance functions, no lifting property is possible: we construct a Delaunay triangulation which is not the projection of the lower envelope of any polyhedron. Triangulations with this negative property are called *non-regular* [17]. The names *non-convex* or *non-coherent* are used by other authors.

Theorem 4.2 is related to Theorem 3 in [7], which says that Voronoi diagrams and Delaunay triangulations for convex distance functions can have combinatorial types forbidden for the Euclidean distance (this result has been extended to the non-symmetric case in [16]). Note, however, that the Delaunay triangulation in Figure 1 has the combinatorial type of a Euclidean Delaunay triangulation.

Lemma 4.1 *Let K be a compact, centrally symmetric convex body in the plane \mathbb{E}^2 , which is neither a line segment nor the convex hull of an ellipse. Then, there exists an ellipse E with the same center as K and such that $E \setminus K$ has at least four connected components.*

Proof: If K is a symmetric quadrilateral, then any ellipse passing through its four vertices satisfies the lemma. Otherwise, consider any three pairs of opposite points in ∂K in strictly convex position and the unique ellipse E_0 passing through them. We deal separately with the following cases:

(a) If ∂K does not contain an arc of E_0 , then $E_0 \setminus \partial K$ has (at least) three pairs of opposite connected components. Thus, either at least two of the three pairs of connected components are exterior to ∂K , in which case E_0 satisfies the conditions of the lemma, or at least two of the three pairs of connected components are interior to ∂K . In the latter case, take let E be an ellipse exterior to E_0 but sufficiently close to it and with the same center.

(b) Suppose that ∂K contains an arc of E_0 and at least one point x exterior to E_0 . Let p be an interior point in the intersection arc. Consider the ellipse E passing through p , through x and through their opposite points p' and x' , and having the same tangent as E_0 at p . E satisfies the conditions of the lemma because each of the arcs px , xp' , $p'x'$ and $x'p$ along E have their endpoints in ∂K but contain points exterior to K (namely, those very close to p and p').

(c) Finally, suppose that ∂K contains an arc of E_0 and no point exterior to E_0 . Call p , q and r three points in such an arc, in this order. Call s a point of ∂K interior to E_0 (which exists because ∂K is not an ellipse). Then, let E be an ellipse passing through p , q and their opposite points p' and q' and having both r and s (and their opposite points) in its interior. \square

Theorem 4.2 *Let D_K be a symmetric, smooth, strictly convex distance function in the plane defined by a convex body K . If K is not an ellipse then there exists a set S of eight points in general position such that*

- (i) *the K -Delaunay triangulation of S is not the projection of the lower envelope of a polyhedron in three space and*
- (ii) *$\text{DOM}_K(S)$ is not realizable.*

Proof: Let us apply Lemma 4.1 to K and, without loss of generality, let us suppose that the ellipse E obtained is actually an Euclidean circle (we can make a linear transformation to K , if needed). Let a , b , c and d be four different connected components of $E \setminus K$, c and d being the symmetric copies of a and b , respectively. Call A , B , C and D their respective extreme points in the

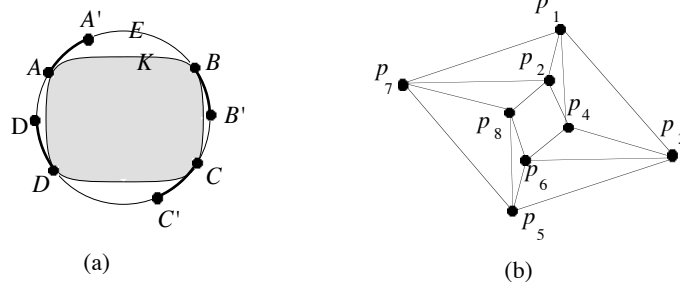


Figure 1: Eight points with non-realizable D. O. M.

counterclockwise direction. Let A' , B' , C' and D' be interior points of a , b , c and d respectively, with segments $[A, A']$, $[B, B']$, $[C, C']$ and $[D, D']$ being of equal length (see Figure 1 (a)).

Let S consist on the eight points in Figure 1 (b), obtained as

$$\begin{aligned} p_1 &= A, & p_2 &= D', & p_3 &= C', & p_4 &= D, \\ p_5 &= p_4 + (D' - A'), & p_6 &= p_4 + (A - A'), \\ p_7 &= p_6 + (A' - B') = p_2 + (D - C), & p_8 &= p_6 + (B - B') = p_2 + (C' - C). \end{aligned}$$

By construction, points $p_1p_2p_5p_6$ and points $p_3p_4p_7p_8$ are collinear. Points $p_1p_2p_3p_4$, $p_3p_4p_5p_6$, $p_5p_6p_7p_8$ and $p_7p_8p_1p_2$ are cocircular with respect to Euclidean circles but, with respect to the distance D_K , their Delaunay triangulation contains all the edges in Figure 1(b). For example, the unique K -circle C passing through points p_1 , p_2 and p_4 must have p_3 outside because the unit K -circle passes through p_1 and p_4 and has p_2 and p_3 outside. Points p_5 , p_6 , p_7 and p_8 must also be outside C , because of strict convexity. Thus, the three edges p_1p_2 , p_2p_4 and p_4p_1 are Delaunay edges.

Let us perturb the configuration a little bit, moving the points p_1 , p_3 , p_5 and p_7 in counterclockwise sense and the points p_2 , p_4 , p_6 and p_8 in clockwise sense. If the perturbation is sufficiently generic, no three points will be collinear and no four will be K -cocircular. For simplicity, we will keep the same names for the perturbed points.

From Figure 1(b) and the rule for obtaining $\chi[p, q, r, s]$ from the orientation of the K -circle passing through p , q and r it is easy to derive the following:

$$\begin{aligned} \chi[1, 3, 5, 2] &= \chi[3, 5, 7, 4] = \chi[5, 7, 1, 6] = \chi[7, 1, 3, 8] = - \\ \chi[1, 3, 5, 4] &= \chi[3, 5, 7, 6] = \chi[5, 7, 1, 8] = \chi[7, 1, 3, 2] = - \\ \chi[1, 3, 5, 6] &= \chi[3, 5, 7, 8] = \chi[5, 7, 1, 2] = \chi[7, 1, 3, 4] = -, \end{aligned} \quad (1)$$

as well as

$$\begin{aligned} \chi[1, 2, 3, 4] &= \chi[3, 4, 5, 6] = \chi[5, 6, 7, 8] = \chi[7, 8, 1, 2] = + \\ \chi[1, 2, 6, 5] &= \chi[3, 4, 8, 7] = + \end{aligned} \quad (2)$$

Note that the two last signs were zero before the perturbation. All the other were non-zero and preserve their sign if the perturbation is sufficiently small.

Let us suppose that the Delaunay oriented matroid is realizable by eight vectors in \mathbf{R}^4 . Because of general position, we have $\chi[1, 3, 5, 7] \neq 0$. Without loss of generality we assume $\chi[1, 3, 5, 7] = +$ and take the corresponding four vectors as a basis for our coordinate system. Taking into account the signs in (1), the coordinate matrix turns out to be of the form

$$\begin{pmatrix} 1 & ? & 0 & +a & 0 & +b & 0 & +c \\ 0 & -d & 1 & ? & 0 & -e & 0 & -f \\ 0 & +g & 0 & +h & 1 & ? & 0 & +i \\ 0 & -j & 0 & -k & 0 & -l & 1 & ? \end{pmatrix}$$

where a, \dots, l are strictly positive numbers. The signs in (2) imply the inequalities

$$gk > jh, \quad al > kb, \quad bf > ce, \quad di > gf, \quad ej > dl, \quad ch > ai,$$

which multiplied together give a contradiction. This finishes the proof of (ii).

For (i), suppose that there is a lifting $\{q_1, \dots, q_8\} \subset \mathbf{R}^3$ of $\{p_1, \dots, p_8\}$ whose lower envelope projects down to our triangulation. Let l_1 and l_2 be the lines in the plane passing respectively through the points $p_1p_2p_5p_6$ and $p_3p_4p_7p_8$ (before the perturbation). Let v be the vertical line through the intersection point of l_1 and l_2 . Let us denote by π_{pqr} the plane passing through three non-collinear points p, q and r in 3-space. The structure of the triangulation, after perturbation, implies that each of the planes

$$\pi_{q_1q_2q_4}, \pi_{q_1q_3q_4}, \pi_{q_3q_4q_6}, \pi_{q_3q_5q_6}, \pi_{q_5q_6q_8},$$

$$\pi_{q_5q_7q_8}, \pi_{q_7q_8q_2}, \pi_{q_7q_1q_2}, \pi_{q_1q_2q_4}$$

intersects the line v in a point below the intersection of the previous one. This gives a contradiction. \square

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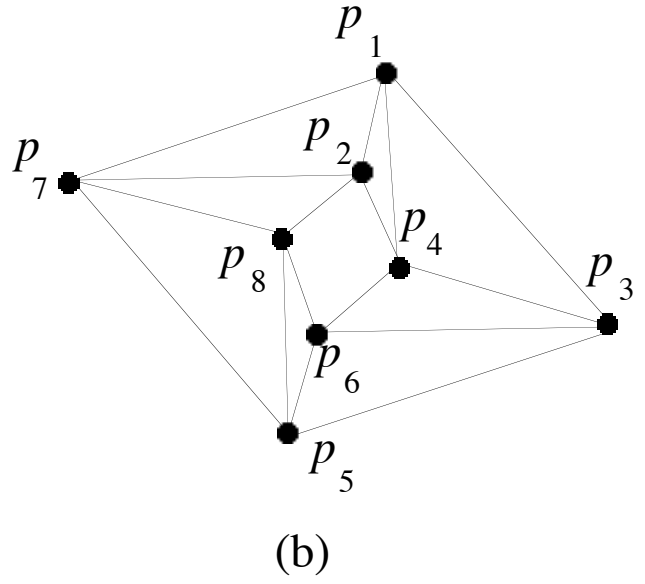
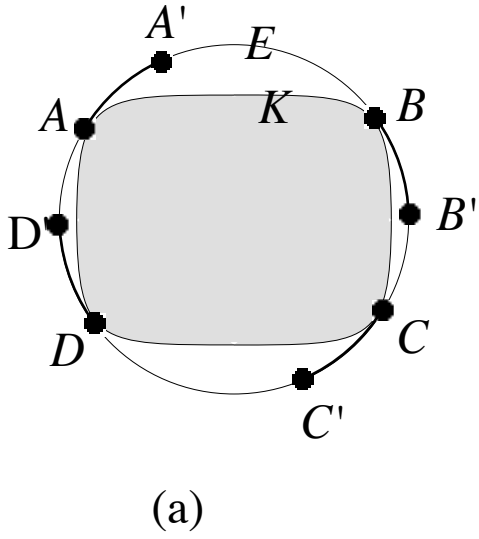


Figure 1: Eight points with non-realizable D. O. M.