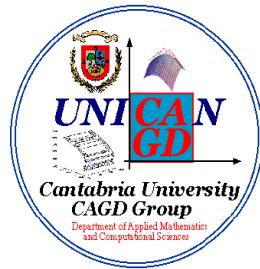




*Department of Applied Mathematics  
and Computational Sciences  
University of Cantabria  
**UC-CAGD Group***



# **COMPUTER-AIDED GEOMETRIC DESIGN AND COMPUTER GRAPHICS: B-SPLINES AND NURBS CURVES AND SURFACES**

**Andrés Iglesias**  
e-mail: [iglesias@unican.es](mailto:iglesias@unican.es)  
Web pages: <http://personales.unican.es/iglesias>  
<http://etsiso2.macc.unican.es/~cagd>

## B-splines Curves

Knot vector: a list  $t_0 \leq t_1 \leq t_2 \leq \dots \leq t_{m-1} \leq t_m$  of  $m+1$  nondecreasing numbers, such that the same value should not appear more than  $k$  times, ( $k$  =order of the B-spline).

We define the i-th B-spline function  $N_{ik}(t)$  of order  $k$  (=  $k-1$  degree) as:

$$N_{i1}(t) = \begin{cases} 1 & \text{if } t_i \leq t \leq t_{i+1} \\ 0 & \text{otherwise} \end{cases} \quad k=1$$

$$N_{ik}(t) = \frac{t - t_i}{t_{i+k-1} - t_i} N_{i,k-1}(t) + \frac{t_{i+k} - t}{t_{i+k} - t_{i+1}} N_{i+1,k-1}(t) \quad k>1$$

1.  $N_{ik}(t) > 0$       for       $t_i < t < t_{i+k}$

2.  $N_{ik}(t) = 0$       for       $t_0 \leq t \leq t_i, t_{i+k} \leq t \leq t_{n+k}$

3.  $\sum_{i=0}^n N_{ik}(t) = 1 \quad t \in [t_{k-1}, t_{n+1}]$       Normalizing property

# B-splines Curves

© 2001 Andrés Iglesias. See:  
<http://personales.unican.es/iglesias>

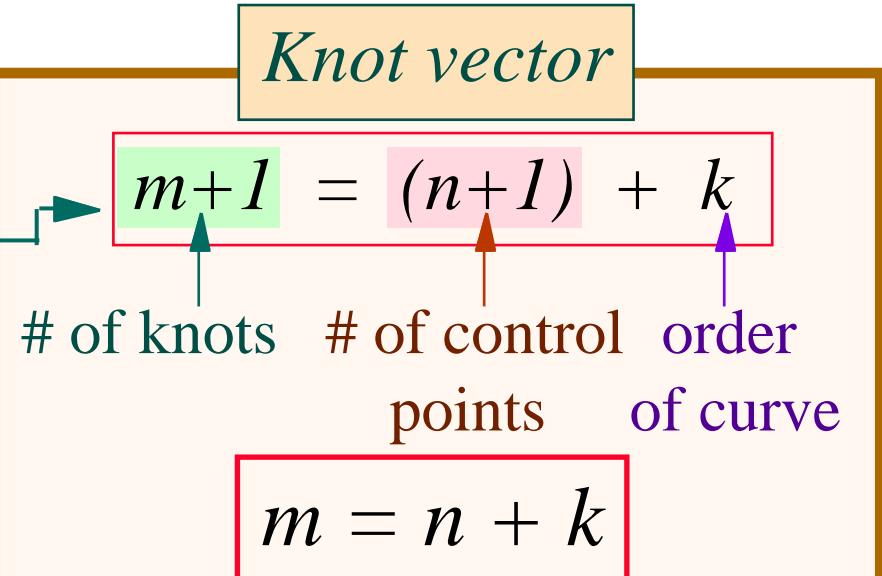
Given a set of  $n+1$  control points  
(called **de Boor** points)  $\mathbf{d}_i \quad (i=0, \dots, n)$   
and a knot vector  $\mathbf{T} = [t_0, t_1, \dots, t_{m-1}, t_m]$   
we define a **B-spline  $X(t)$  of order  $k$**   
as:

$$\mathbf{X}(t) = \sum_{i=0}^n \mathbf{d}_i N_{ik}(t)$$

where  $N_{ik}(t)$  describes the blending  
B-spline function of degree  $k-1$   
associated with the knot vector  $\mathbf{T}$ .

## PROPERTIES:

- (a) The degree of the polynomial does not exceed  $k-1$ .
- (b) The first  $k-2$  derivatives are continuous.



Knot vectors can be classified as:

Periodic / Uniform  $\rightarrow t_i - t_{i-1} = C$

B-splines functions are all translates of each other:  $n=3, k=3 [0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6]$

NonPeriodic  $\rightarrow k$  repeated values at the ends ( $k$  = order)

$n=3, k=3 [0 \ 0 \ 0 \ 1 \ 2 \ 2 \ 2]$

NonUniform  $\rightarrow$  **NURBS**

# B-splines Curves

© 2001 Andrés Iglesias. See:  
<http://personales.unican.es/iglesias>

## Knot vector

### Periodic / Uniform

- Influence of each basis function is limited to  $k$  intervals
- Parameter range:  $(k-1) \quad t \quad (n+1)$

### EXAMPLE

[0 1 2 3 4 5 6]

### NonPeriodic

- No loss of parameter range: the curve interpolates the first and the last control points
- Parameter range:  $0 \quad t \quad n-k+2$

### EXAMPLE

[0 0 0 1 2 2 2]

### NonUniform (NURBS)

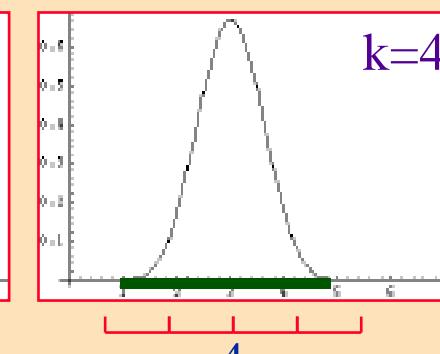
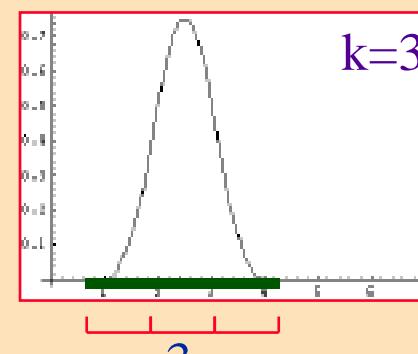
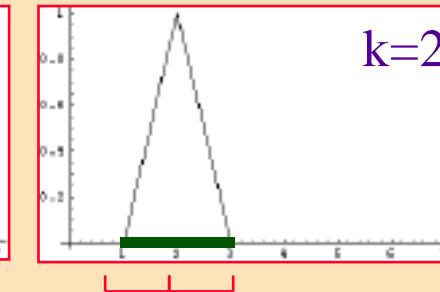
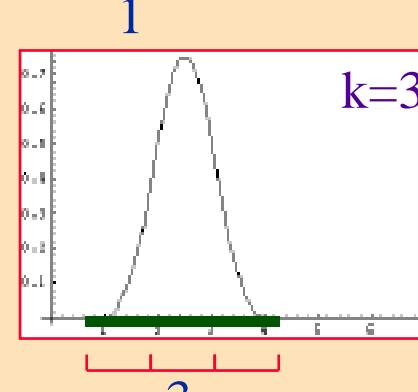
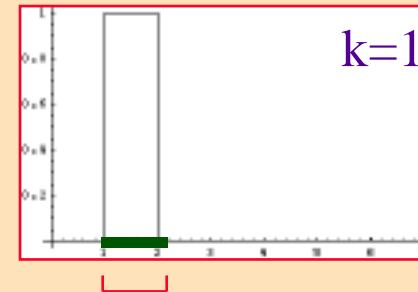
### EXAMPLES

[0 1 2 3 3 3 4]

[0 0 0 1 2 5 5]

## EXAMPLE

## B-splines $B_{2k}(t)$



## Bézier representation

It is a simple case of B-splines, when:

- # of control points = order B-spline
- A nonperiodic knot vector is considered

# B-splines Curves

© 2001 Andrés Iglesias. See:  
<http://personales.unican.es/iglesias>

Example:

Five control points  $n=4$

Quadratic B-spline:  $k=3$

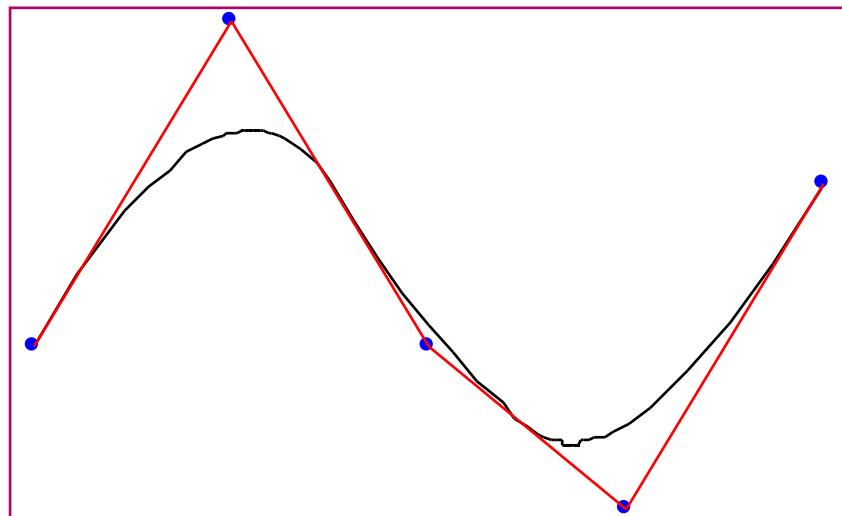
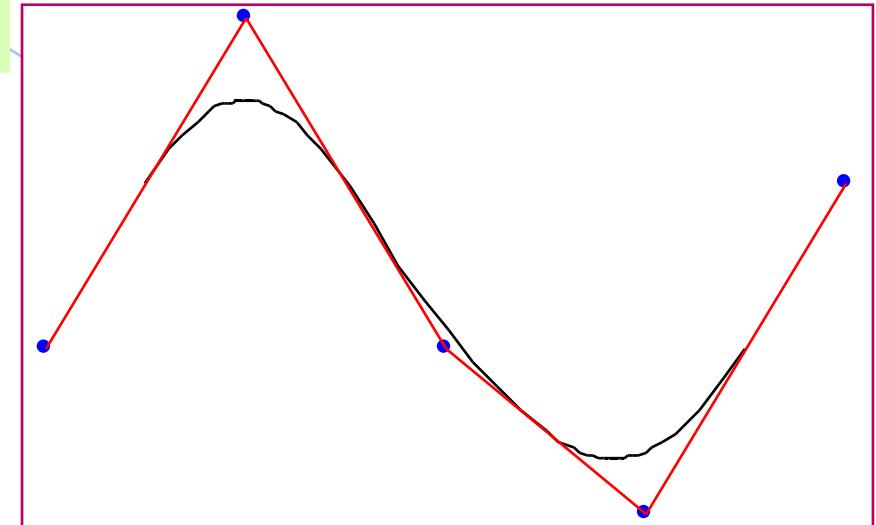
Periodic /  
Uniform

$T=[0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7]$

Parameter range:  $(2,5)$

Curve *does not interpolate* the end points.

$P=((1,2),(2,4),(3,2),(4,1),(5,3))$



NonPeriodic  $T=[0 \ 0 \ 0 \ 1 \ 2 \ 3 \ 3 \ 3]$

Parameter range:  $(0,3)$

Curve *interpolates* the end points.

For the case  $n=k$ , we recover the *Bézier curves*.

NonUniform

$T=[0 \ 1 \ 2 \ 3 \ 4 \ 4 \ 4 \ 5]$

$T=[0 \ 2 \ 2 \ 5 \ 5 \ 5 \ 26]$

$T=[0 \ 0 \ 0 \ 1 \ 2 \ 5 \ 6 \ 6]$

$T=[0.3 \ 2 \ 2 \ 8 \ 8 \ 9.6 \ 9.6]$

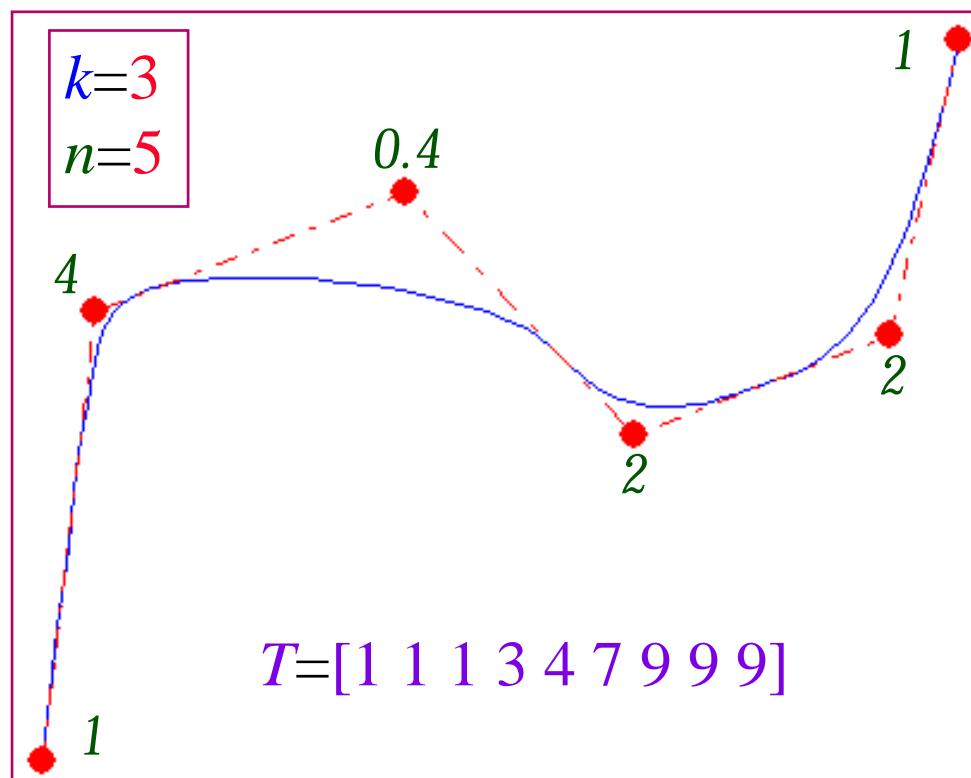
# Rational B-splines Curves

© 2001 Andrés Iglesias. See:

<http://personales.unican.es/iglesias>

Introducing weights  $w_{ij}$  we obtain a rational B-spline curve given by:

$$R(t) = \frac{\sum_{i=0}^n P_i w_i N_{ik}(t)}{\sum_{i=0}^n w_i N_{ik}(t)}$$



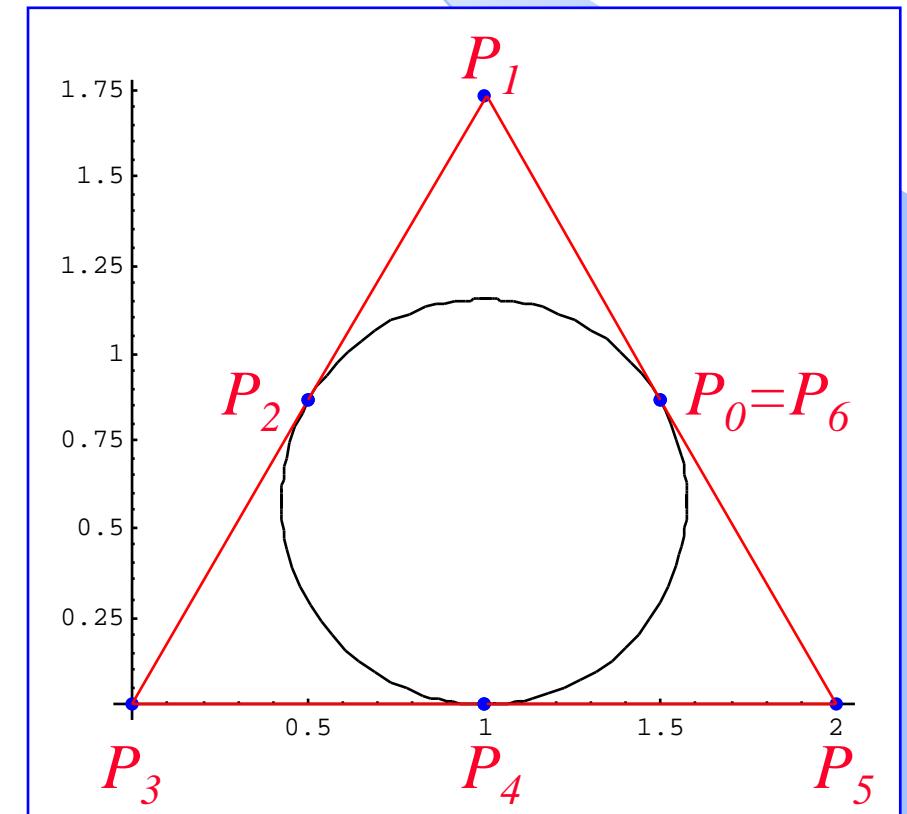
*Example:* A full circle can be obtained by using seven control points:

$$\{P_0, P_1, P_2, P_3, P_4, P_5, P_6\}$$

the knot vector:

$$T=[0 0 0 1/3 1/3 2/3 2/3 1 1 1]$$

and weights:  $w=\{1,1/2,1,1/2,1,1/2,1\}$

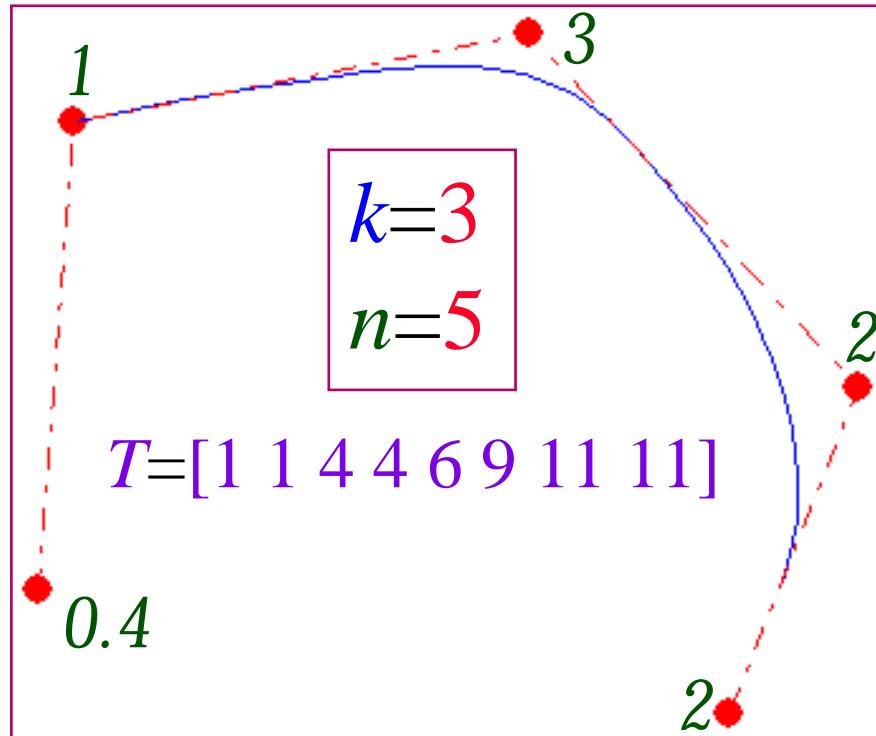


# Non-Uniform Rational B-splines (NURBS)

Today, NURBS become the most important geometric entity in design.

Incorporated in the most popular CAD/CAM and Computer Graphics systems

A NURBS curve with no interior knots is a rational Bézier curve. So, NURBS curves contain nonrational B-splines and rational and nonrational Bézier curves as special cases.



Unfortunately, it is not easy to understand how they work

Non  
Uniform  
Rational  
B-  
Splines

@

Nobody  
Understands  
Rational  
B-  
Splines

# B-splines Surfaces

A B-spline surface  $S$  of order  $k$  in the  $u$  direction and order  $l$  in the  $v$  direction is a bivariate vector-valued piecewise function of the form:

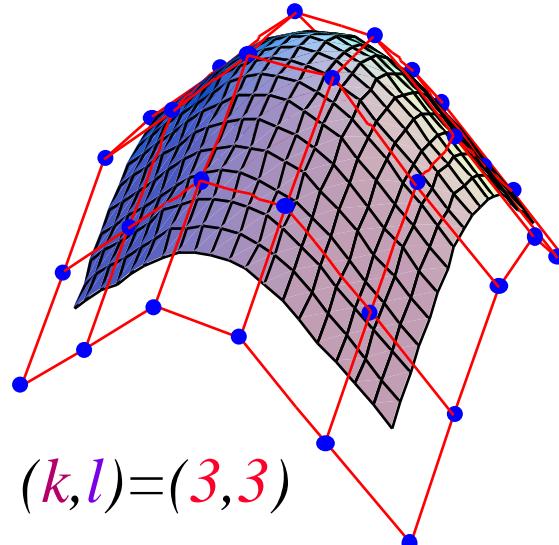
$$S(u, v) = \sum_{j=0}^m \sum_{i=0}^n P_{ij} N_{ik}(u) N_{jl}(v)$$

© 2001 *Andrés Iglesias*. See:  
<http://personales.unican.es/iglesias>

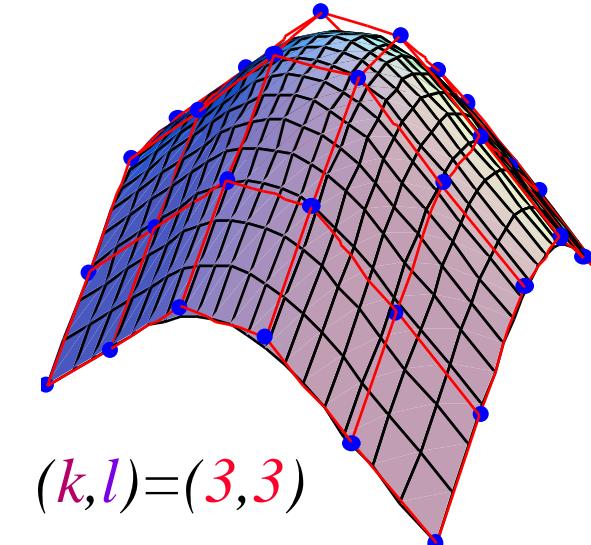
where the  $P_{ij}$  form a bidirectional net and the  $N_{ik}(u)$  and  $N_{jl}(v)$  are the B-spline functions defined on the knot vectors:

$$U=[u_0, u_1, \dots, u_{p-1}, u_p] \quad \text{and} \quad V=[v_0, v_1, \dots, v_{q-1}, v_q] \quad (p = m+k, q = l+n)$$

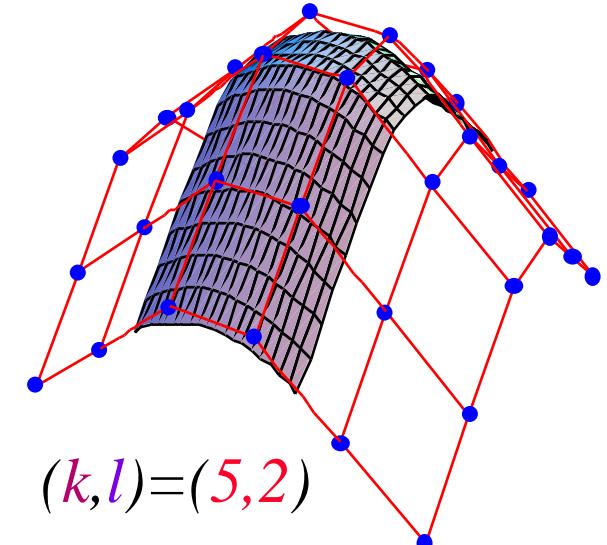
(periodic, periodic)



(nonperiodic, nonperiodic)



(periodic, nonperiodic)



# Properties of the B-splines Surfaces

1. If  $U = [0, \dots, 0, \underbrace{1, \dots, 1}_{k+1}]$  and  $V = [0, \dots, 0, \underbrace{1, \dots, 1}_{l+1}]$  then the surface  $S$  *interpolates the four corner points*:

$$S(0,0) = P_{00}, \quad S(1,0) = P_{m0}, \quad S(0,1) = P_{0n}, \quad S(1,1) = P_{mn}$$

2. If  $m=k$ ,  $n=l$ ,  $U = [0, \dots, 0, \underbrace{1, \dots, 1}_{k+1}]$  and  $V = [0, \dots, 0, \underbrace{1, \dots, 1}_{l+1}]$  then  $S$  is a *Bézier surface*.

3. *Affine invariance*: an affine transformation is applied to the surface by applying it to the control points.

4. *Strong convex hull property*: if  $(u, v)$  belongs to  $[u_r, u_{r+1}] \times [v_s, v_{s+1}]$ ,  $S$  is in the convex hull of the control points  $P_{ij}$  ( $r-k \leq i \leq r$ ,  $s-l \leq j \leq s$ )

5. *Local modification scheme*: if  $P_{ij}$  is moved, it affects the surface only in the rectangle  $[u_i, u_{i+k+1}] \times [v_j, v_{j+l+1}]$ .

6. Isoparametric curves for  $S$  behave analogously to that the Bézier surfaces.

## Rational B-splines Surfaces

A rational B-spline surface  $S$  of order  $k$  in the  $u$  direction and order  $l$  in the  $v$  direction is defined by:

$$S(u, v) = \frac{\sum_{j=0}^m \sum_{i=0}^n P_{ij} w_{ij} N_{ik}(u) N_{jl}(v)}{\sum_{j=0}^m \sum_{i=0}^n w_{ij} N_{ik}(u) N_{jl}(v)}$$

© 2001 Andrés Iglesias. See:  
<http://personales.unican.es/iglesias>

where the  $P_{ij}$ ,  $N_{ik}(u)$  and  $N_{jl}(v)$  are given as for the nonrational case, and  $w_{ij}$  are the weights, which will be assumed  $w_{ij} > 0$ , for all  $i, j$ .

Once again, if all  $w_{ij} = 1$ , we recover the nonrational B-spline surfaces.

NURBS surfaces are included here as a particular case. However, some authors *identify rational B-spline surfaces to NURBS surfaces*. From this point of view, NURBS surfaces are a key topic in computer graphics, being incorporated in most of the computer design systems.

# Applications to industry

© 2001 Andrés Iglesias. See: <http://personales.unican.es/iglesias>



1902



1999

Bernstein polynomials (1912)

Circular arcs for curves and surfaces

Conoids

50's

Cartesian description

SKETCHPAD (Sutherland)  
AUTOKON (Mehlun)  
EUKLID (Engeli)

Take **parabols** instead circular arcs

Gordon surfaces

Bézier curves and surfaces

UNISURF  
(P. Bézier)

B-spline curves and surfaces

Coons' patches

60's Parametric description 70's

CAGD Utah Conf. '74  
(Barnhill & Riesenfeld)

NURBS curves and surfaces